



Privacy Policy of Financial Telesis Inc.

This is a privacy policy statement on behalf of Financial Telesis Inc. and its representatives. We believe that personal information we collect about our customers must be treated with confidentiality. For this reason and in compliance with the law, we have developed a *Privacy Policy*, which may be modified from time to time.

Financial Telesis Inc. and its representatives collect nonpublic personal information about you from applications and other forms, which they receive from you and from oral and e-mail communications. We may also receive information from consumer reporting agencies. Some of Financial Telesis Inc. representatives independently provide investment advice, tax return preparation services, insurance sales, and other services to you and receive information in the same way from you.

Financial Telesis Inc. and its representatives, in the course of their business, will share this information that they collect with each other and with unaffiliated third parties (mutual funds, money managers, e.g.) for use in the business that they independently conduct. At such time as Financial Telesis Inc. and the representative terminate their relationship with one another, the information may be retained by both of them.

We will not provide nonpublic personal information about you to non-affiliated third parties except to enable them or us to service your account, or when required by law.

If you prefer that we not disclose nonpublic personal information about you to nonaffiliated third parties, you may opt out of those disclosures; that is, you may direct us not to make those disclosures (other than disclosures permitted by law). If you wish to opt out of disclosures to nonaffiliated third parties, you must call the following number or write to the following address within 30 calendar days:

Financial Telesis Inc.
4340 Redwood Highway
Suite A 23
San Rafael, CA 94903
(415) 492-8975

We restrict access to nonpublic personal information about you to those representatives and employees who need to know that information to provide products or services to you. We maintain physical, electronic, and procedural safeguards that comply with federal standards to guard your nonpublic personal information.

Business Continuity Plan

In accordance with federal requirements Financial Telesis Inc. maintains a Business Continuity Plan (BCP) that describes what steps will be taken to ensure the continuity of our business operation in the event of an unanticipated disaster. The plan has been designed with procedures to ensure that client documentation will be accessible and that contact between Financial Telesis Inc. and its clients will be sustained. Copies of the BCP are available upon request.

SIPC Coverage

You may obtain information about SIPC, including the SIPC brochure, by contacting SIPC at <http://www.sipc.org/> or calling Tel: (202)371-8300.